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PATENT

UNITED STATES PATENT APPLICATION

of

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for

A DEVICE FOR EFFECTING HEAT TRANSFER WITH A SOLUTION  
HELD IN A THROUGH-HOLE WELL OF A HOLDING TRAY

## FIELD OF THE INVENTION

The present invention pertains to systems and methods for thermally heating and cooling fluid solutions. More particularly, the present invention pertains to systems and methods for selectively heating and cooling samples  
5 held in a plurality of through-hole wells of a holding plate. The present invention is particularly, though not exclusively, useful as a system for selectively heating and cooling samples held in fluid solutions in through-hole wells of a holding plate by establishing effective thermal communication through a metallic coating that extends from the surface of the holding plate  
10 into the lumen of each well.

## BACKGROUND OF THE INVENTION

Specimen samples may be required to be heated or cooled for various applications. Some applications, however, may require specimen samples to be subjected to thermocycling which involves alternating from high  
15 temperatures to lower temperatures for a particular length of time at each temperature. For example, one such application is the amplification of nucleic acid sequences in a process known as polymerase chain reaction (PCR).

Depending on the equipment that is being used, and the particular procedure (application) that is being followed, the heating and cooling of  
20 specimen samples will require several considerations. Specifically, one consideration includes the length of time for the change in temperature to occur. This is so because it may be desirable for a temperature change to occur either as rapidly as possible or with very slow, controlled variations. An additional consideration is maintaining a substantially uniform temperature  
25 among the samples which are to be heated and cooled. Also, it may be very important for all the samples to experience the same change in temperature at the same time. To further these considerations, it is important to have an efficacious transfer of heat from a heat transfer device to the samples. This is

so, regardless of whatever tray, plate or other holding device is being used for holding the samples.

It is well known that holding plates are widely used for holding large numbers of small samples for use in various testing procedures. When  
5 temperature control, or predetermined temperature variations are required for the testing or analysis of samples, there must be effective thermal communication between some type of heat transfer device and the samples. For instances wherein the samples are being held in the many through-hole wells of the holding plate, the structure of the holding plate can become  
10 important. This situation can become particularly complicated when the material of the holding tray is a poor thermal conductor and access to samples is difficult because the diameters of the through-hole wells in the holding plate are very small.

In light of the above, it is an object of the present invention to provide a  
15 system and method for selectively heating and cooling samples in a solution in through-hole wells of a holding plate by establishing an effective thermal communication between the surface of the holding plate and the samples which are to be heated and cooled. Another object of the present invention is to provide a system and method for selectively heating and cooling samples  
20 with minimal effect from ambient environmental conditions. Yet another object of the present invention is to provide a system and method for selectively heating and cooling samples which is effectively easy to use, relatively simple to manufacture and comparatively cost effective.

#### SUMMARY OF THE PREFERRED EMBODIMENTS

25 A system and method for selectively heating and cooling samples in a solution includes a holding plate having two substantially flat, rectangular-shaped opposing surfaces, and a plurality of through-hole wells for holding the samples and solution. With the wells being formed through the holding plate between the opposing surfaces, each well has a first end and a second end  
30 with a preferred aspect ratio of preferably greater than about 5:1. Further,

each well of the present invention is generally cylindrical-shaped and it preferably has a diameter of less than approximately five hundred microns.

For the present invention, a metallic coating is positioned, using vapor deposition techniques (e.g. sputtering), on one of the opposing surfaces of the holding plate. Importantly, as a result of the vapor deposition process, this coating will extend into the lumen of each well to contact a solution that is being held in the wells. For the present invention, it is envisioned that the metallic coating will extend a distance of approximately one and one half well diameters (e.g. approximately 750 microns) or as much as two to three diameters into the lumen of each well for contact with the solution in the wells. In an alternate embodiment of the present invention, it is contemplated that the metallic coating can be disposed on both opposing surfaces of the holding plate, and into each well lumen from both ends of the through-hole wells. In either case, since the well diameters are very small, this metallic coating is disposed on the holding plate using any suitable vapor deposition techniques.

For the present invention, a heat transfer device is thermally connected to the metallic coating to establish thermal communication between the heat transfer device and the metallic coating on the surface of the holding plate. Since the metallic coating extends into the well lumens, and is in contact with the solution held in these wells, this coating interconnects the heat transfer device with the solution in the wells. When activated, the heat transfer device will heat or cool the solution and the samples, as desired, via the metallic coating.

In addition to the holding plate, the system of the present invention can include a cap member that is engageable with the holding plate to cover at least one of the opposing surfaces of the plate. As envisioned for the present invention, the cap member will protect the solution and the samples from any ambient environmental conditions, such as evaporation or condensation. Further, by covering the holding plate with the cap member, any spilling or leaking of the solution from the wells can be prevented.

In the operation of the present invention, the wells of the holding plate are first filled with samples in a solution. When the heat transfer device is

activated, a thermal communication is established between the device and the solution through the metallic coating on the holding plate. Via the metallic coating, the samples and solution can be heated or cooled, as is necessary for an intended purpose.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

The novel features of this invention, as well as the invention itself, both as to its structure and its operation, will be best understood from the accompanying drawings, taken in conjunction with the accompanying description, in which similar reference characters refer to similar parts, and in  
10 which:

Fig. 1 is an exploded perspective view of the present invention, with a cap member shown positioned above the holding plate for engagement therewith; and

Fig. 2 is a cross-sectional view of the present invention as seen along  
15 the lines 2-2 in Fig. 1.

#### DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring initially to Fig. 1, a system for selectively heating and cooling samples in a solution in accordance with the present invention is shown and generally designated 10. As shown, the system 10 includes a holding plate  
20 12 and a heat transfer device 14 that is connected to the holding plate 12 by way of a heat pipe 16, wire or any other means well known in the pertinent art for the purpose of affecting heat transfer. Fig. 1 also shows a cap member 18 that is engageable with the holding plate 12.

Still referring to Fig. 1, in detail, the holding plate 12 is shown to have a  
25 first (upper) surface 20 and an opposite second (lower) surface 22. Both of these surfaces 20, 22 are substantially flat and rectangular-shaped. Further, the holding plate 12 is formed with a plurality of through-hole wells 24 that are substantially cylindrical-shaped. These wells 24 are formed between the first

and second surfaces 20 and 22 of the holding plate 12 and can be filled with samples in a solution. This filling can be accomplished by any means well known in the art, such as by a wicking action. The structural details of the through-hole wells 24 can perhaps be best seen in Fig. 2.

5 As shown in Fig. 2, each well 24 has a lumen 28 with a length 29 and it has a first end 30 and a second end 32. Also, the lumen 28 has a diameter 26. Specifically, the well diameter 26 of the present invention is approximately less than five hundred microns. Further, each well 24 has a preferred aspect ratio of greater than 5:1. For the through-hole wells 24, this  
10 aspect ratio is defined as the ratio of the length 29 of a well 24 to its diameter 26.

Still referring to Fig. 2, the system 10 of the present invention includes a metallic coating 34 that is positioned on the first surface 20 of the holding plate 12. Importantly, as also shown, this metallic coating 34 extends a  
15 distance 36 into each lumen 28 to contact the samples 38 in the solution 40 that are held in the wells 24. In order to contact the solution 40, it is contemplated that the metallic coating 34 extends a distance 36 of approximately one and a half well diameters (approximately 750 microns) into each lumen 28. In some applications the distance 36 may be as much as two  
20 or three diameters. The metallic coating 34 can be made of any suitable metal well known in the pertinent art, such as Nichrome or Gold. The metallic coating 34 of the present invention is disposed on the holding plate 12 using any suitable vapor deposition techniques.

As contemplated for the present invention, the metallic coating 34 can  
25 also be disposed on the second surface 22 of the holding plate 12 as seen in Fig. 2. In this alternate embodiment of the present invention, the metallic coating 34 will also extend a distance 36 of approximately 750 microns into each lumen 28 for contact with the solution 40.

Referring back to Fig. 1, a heat transfer device 14 is shown connected  
30 via a heat pipe 16 with the metallic coating 34 on the first surface 20 of the holding plate 12. The heat transfer device 14 would also be connected to the metallic coating 34 on the second surface 22 of the holding plate 12.

Importantly, a thermal communication is established between the heat transfer device 14 and the samples 38 in the solution 40 held in the wells 24 by way of the metallic coating 34. Specifically, the transfer of heat will occur  
5 from the heat transfer device 14, through the heat pipe 16, to the metallic coating 34 on the first surface 20 of the holding plate 12, and into each well lumen 28. Since the metallic coating 34 is in contact with the solution 40, the solution 40 will be heated or cooled, as desired.

Still referring to Fig. 1, the system 10 of the present invention can  
10 include a cap member 18 that is engageable with the holding plate 12 to cover the first surface 20 of the plate 12. The cap member 18, when engaged with the holding plate 12, will protect the solution 40 and samples 38 from any ambient environmental conditions, such as evaporation or condensation. Further, by covering the holding plate 12 with the cap member 18, any spilling  
15 or leaking of the solution 40 and samples 38 from the lumens 28 of the wells 24 can be prevented.

In the operation of the present invention, the wells 24 of the holding plate 12 are first filled with samples 38 in a solution 40. When the heat transfer device 14 is activated, a thermal communication is established  
20 between the solution 40 in the wells 24 and the heat transfer device 14, through the metallic coating 34. Via the metallic coating 34, the samples 38 and solution 40 can be heated or cooled, as it is necessary for an intended purpose.

While the particular Device for Effecting Heat Transfer with a Solution  
25 Held in a Through-Hole Well of a Holding Tray as herein shown and disclosed in detail is fully capable of obtaining the objects and providing the advantages herein before stated, it is to be understood that it is merely illustrative of the presently preferred embodiments of the invention and that no limitations are intended to the details of construction or design herein shown other than as  
30 described in the appended claims.